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PTO/SB/21 (08-00)

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1653 \$

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

21

Application Number	09/998,667
Filing Date	December 3, 2001
First Named Inventor	Masuda, Esteban
Group Art Unit	1653
Examiner Name	Not yet assigned

TECH CENTER 16001

08 2002

Attorney Docket Number

021044-000600US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard; Petition to Correct Inventorship; Consent of Assignee for Correction of Inventorship; copy of Statement Under 37 C.F.R. 3.73(b) with copies of two counterpart Assignments of Masuda, Liao, Zhao, Chu, Pardo and Li as exhibits (not for recordation); Declaration of Li under 37 C.F.R. 1.48; Declaration of Li for Utility Patent Application.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP	Reg. No. 42,058
Signature		
Date	June 21, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

June 21, 2002

Typed or printed name	Dana Kane
Signature	
Date	June 21, 2002

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JUN 21 2002
P A T E N T
T R A D E M A R K
U S P T O

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130)

Complete if Known

Application Number 09,998,667

Filing Date December 3, 2001

First Named Inventor Masuda, Esteban

Examiner Name Not yet assigned

Group Art Unit 1653

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JUL 08 2002

TECH CENTER 1600 2900

Attorney Docket No. 021044-000600US

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None
 Deposit AccountDeposit
Account
Number

20-1430

Deposit
Account
NameTownsend and Townsend and
Crew LLP

The Commissioner is authorized to: (check all that apply)

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- Charge any additional fee(s) during the pendency of this application
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- to the above-identified deposit account

FEE CALCULATION

1 BASIC FILING FEE

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2 EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	-20**	=	X	=	Fee from below	Fee Paid
Independent Claims	-3**	=	X	=		
Multiple Dependent			K	=		

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
			9	Claims in excess of 20	
			42	Independent claims in excess of 3	
			140	Multiple dependent claim, if not paid	
			42	** Reissue independent claims over original patent	
			9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

** or number previously paid if greater. For Reissues see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)-----					Petition to Correct Inventorship Fee Under 37 CFR 1.17(i)
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 130)

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 130)

SUBMITTED BY

Complete if applicable

Name, Print Type

Annette S. Parent

Registration No. Attorney, Agent

42,058

Telephone

415-576-6200

Signature

Annette S. Parent

Date

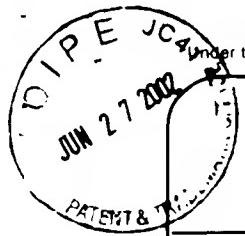
June 21, 2002

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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

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Independent Claims	-3**	=		X	
Multiple Dependent				X	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

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** or number previously paid, if greater. For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

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169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					Petition to Correct Inventorship Fee Under 37 CFR 1.17(i) 130

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$130)

SUBMITTED BY

Complete if applicable

Name Print Type	Annette S. Parent	Registration No. (Attorney/Agent)	42 058	Telephone	415-576-0200
Signature	<i>Annette S. Parent</i>				
Date	June 21 2002				

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